Docket No. 363779/0002 ID: CEC Ap

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MERRIN, Seth I., et al.

Group Art Unit: 3624

Application No.: 09/834,171

Examiner: Charles R. Kyle

Filed: April 12, 2001

For: ELECTRONIC SECURITIES MARKETPLACE HAVING INTEGRATION

WITH ORDER MANAGEMENT SYSTEMS

Date: February 16, 2006

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- 1) Pre-Appeal Brief Request for Review (1 pg.)
- 2) Notice of Appeal (1 pg.)
- 3) Reason for Pre-Appeal Brief Review (5 pgs.)
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